

## Prime Numbers



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## Prime Numbers

- ◇ **Prime number:** an integer  $p > 1$  that is divisible only by 1 and itself, ex. 2, 3, 5, 7, 11, 13, 17...
- ◇ **Composite number:** an integer  $n > 1$  that is not prime
- ◇ **Fact:** there are infinitely many prime numbers. (by Euclid)
  - pf: ✧ on the contrary, assume  $a_n$  is the largest prime number
  - ✧ let the finite set of prime numbers be  $\{a_0, a_1, a_2, \dots, a_n\}$
  - ✧ the number  $b = a_0 * a_1 * a_2 * \dots * a_n + 1$  is not divisible by any  $a_i$   
i.e.  $b$  does not have prime factors  $\leq a_n$
- 2 cases:  $\triangleright$  if  $b$  has a prime factor  $d$ ,  $b > d > a_n$ , then “ $d$  is a prime number that is larger than  $a_n$ ” ... contradiction
- $\triangleright$  if  $b$  does not have any prime factor less than  $b$ , then “ $b$  is a prime number that is larger than  $a_n$ ” ... contradiction

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## Prime Number Theorem

### ◇ Prime Number Theorem:

★ Let  $\pi(x)$  be the number of primes less than  $x$

★ Then

$$\pi(x) \approx \frac{x}{\ln x}$$

in the sense that the ratio  $\pi(x) / (x/\ln x) \rightarrow 1$  as  $x \rightarrow \infty$

★ Also,  $\pi(x) \geq \frac{x}{\ln x}$  and for  $x \geq 17$ ,  $\pi(x) \leq 1.10555 \frac{x}{\ln x}$

### ◇ Ex: number of 100-digit primes

$$\pi(10^{100}) - \pi(10^{99}) \approx \frac{10^{100}}{\ln 10^{100}} - \frac{10^{99}}{\ln 10^{99}} \approx 3.9 \times 10^{97}$$

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## Factors

- ◇ Every composite number can be expressible as a product  $a \cdot b$  of integers with  $1 < a, b < n$
- ◇ Every positive integer has a unique representation as a product of prime numbers raised to different powers.
  - ✧ Ex.  $504 = 2^3 \cdot 3^2 \cdot 7$ ,  $1125 = 3^2 \cdot 5^3$

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## Factors

✧ Lemma:  $p$  is a prime number and  $p \mid a \cdot b \iff p \mid a$  or  $p \mid b$ ,  
more generally,  $p$  is a prime number and  $p \mid a \cdot b \cdot \dots \cdot z$   
 $\implies p$  must divide one of  $a, b, \dots, z$

★ proof:

✧ case 1:  $p \mid a$

✧ case 2:  $p \nmid a$ ,

➢  $p \nmid a$  and  $p$  is a prime number  $\implies \gcd(p, a) = 1 \implies 1 = a \cdot x + p \cdot y$

➢ multiply both side by  $b$ ,  $b = \underline{b} \cdot a \cdot x + b \cdot p \cdot y$

➢  $p \mid a \cdot b \implies p \mid b$

✧ In general: if  $p \mid a$  then we are done, if  $p \nmid a$  then  $p \mid bc \dots z$ , continuing this way, we eventually find that  $p$  divides one of the factors of the product

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## Factorization into primes

✧ Theorem: Every positive integer is a product of primes.  
This factorization into primes is unique, up to reordering of the factors.

- Empty product equals 1.
- Prime is a one factor product.

★ Proof: product of primes

✧ assume there exist positive integers that are not product of primes

✧ let  $n$  be the smallest such integer

✧ since  $n$  can not be 1 or a prime,  $n$  must be composite, i.e.  $n = a \cdot b$

✧ since  $n$  is the smallest, both  $a$  and  $b$  must be products of primes.

✧  $n = a \cdot b$  must also be a product of primes, contradiction

★ Proof: uniqueness of factorization

✧ assume  $n = r_1^{c_1} r_2^{c_2} \dots r_k^{c_k} p_1^{a_1} p_2^{a_2} \dots p_s^{a_s} = r_1^{c_1} r_2^{c_2} \dots r_k^{c_k} q_1^{b_1} q_2^{b_2} \dots q_t^{b_t}$   
where  $p_i, q_j$  are all distinct primes.

✧ let  $m = n / (r_1^{c_1} r_2^{c_2} \dots r_k^{c_k})$

✧ consider  $p_1$  for example, since  $p_1$  divide  $m = q_1 q_1 \dots q_1 q_2 \dots q_t$ ,  $p_1$  must divide one of the factors  $q_j$ , contradict the fact that " $p_i, q_j$  are distinct primes"

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(“Fair-MAH”)

## Fermat’s Little Theorem

✧ If  $p$  is a prime,  $p \nmid a$  then  $a^{p-1} \equiv 1 \pmod{p}$

Proof: ✧ let  $S = \{1, 2, 3, \dots, p-1\} \pmod{p}$ , define  $\psi(x) \equiv a \cdot x \pmod{p}$  be a mapping  $\psi: S \rightarrow S$

✧  $\forall x \in S, \psi(x) \not\equiv 0 \pmod{p} \implies \forall x \in S, \psi(x) \in S$ , i.e.  $\psi: S \rightarrow S$

if  $\psi(x) \equiv a \cdot x \equiv 0 \pmod{p} \implies x \equiv 0 \pmod{p}$  since  $\gcd(a, p) = 1$

✧  $\forall x, y \in S$ , if  $x \neq y$  then  $\psi(x) \neq \psi(y)$  since

if  $\psi(x) \equiv \psi(y) \implies a \cdot x \equiv a \cdot y \implies x \equiv y \pmod{p}$  since  $\gcd(a, p) = 1$

✧ from the above two observations,  $\psi(1), \psi(2), \dots, \psi(p-1)$  are distinct elements of  $S$

✧  $1 \cdot 2 \cdot \dots \cdot (p-1) \equiv \psi(1) \cdot \psi(2) \cdot \dots \cdot \psi(p-1) \equiv (a \cdot 1) \cdot (a \cdot 2) \cdot \dots \cdot (a \cdot (p-1))$   
 $\equiv a^{p-1} (1 \cdot 2 \cdot \dots \cdot (p-1)) \pmod{p}$

✧ since  $\gcd(j, p) = 1$  for  $j \in S$ , we can divide both side by  $1, 2, 3, \dots, p-1$ , and obtain  $a^{p-1} \equiv 1 \pmod{p}$

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## Fermat’s Little Theorem

✧ Ex:  $2^{10} = 1024 \equiv 1 \pmod{11}$

$2^{53} = (2^{10})^5 2^3 \equiv 1^5 2^3 \equiv 8 \pmod{11}$

i.e.  $2^{53} \equiv 2^{53 \bmod 10} \equiv 2^3 \equiv 8 \pmod{11}$

✧ if  $n$  is prime, then  $2^{n-1} \equiv 1 \pmod{n}$

i.e. if  $2^{n-1} \not\equiv 1 \pmod{n}$  then  $n$  is not prime  $\leftarrow (*)$

usually, if  $2^{n-1} \equiv 1 \pmod{n}$ , then  $n$  is prime

★ exceptions:  $2^{561-1} \equiv 1 \pmod{561}$  although  $561 = 3 \cdot 11 \cdot 17$

$2^{1729-1} \equiv 1 \pmod{1729}$  although  $1729 = 7 \cdot 13 \cdot 19$

★ (\*) is a quick test for eliminating composite number

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## Euler's Totient Function $\phi(n)$

◇  $\phi(n)$ : the number of integers  $1 \leq a < n$  s.t.  $\gcd(a, n) = 1$

★ ex.  $n=10$ ,  $\phi(n)=4$  the set is  $\{1, 3, 7, 9\}$

◇ properties of  $\phi(\bullet)$

★  $\phi(p) = p-1$ , if  $p$  is prime

★  $\phi(p^r) = p^r - p^{r-1} = (1-1/p) \cdot p^r$ , if  $p$  is prime

★  $\phi(n \cdot m) = \phi(n) \cdot \phi(m)$  if  $\gcd(n, m) = 1$  排容原理  
 $n \cdot m - (n - \phi(n)) \cdot m - (m - \phi(m)) \cdot n + (n - \phi(n)) \cdot (m - \phi(m)) = \phi(n) \cdot \phi(m)$

★  $\phi(n \cdot m) = \phi((d_1/d_2/d_3)^2) \cdot \phi(d_2^3) \cdot \phi(d_3^3) \cdot \phi(n/d_1/d_2) \cdot \phi(m/d_1/d_3)$   
 if  $\gcd(n, m) = d_1$ ,  $\gcd(n/d_1, d_1) = d_2$ ,  $\gcd(m/d_1, d_1) = d_3$

★  $\phi(n) = n \prod (1-1/p)$

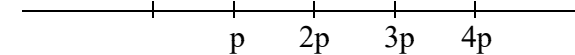
◇ ex.  $\phi(10) = (2-1) \cdot (5-1) = 4$   $\phi(120) = 120(1-1/2)(1-1/3)(1-1/5) = 32$

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## How large is $\phi(n)$ ?

◇  $\phi(n) \approx n \cdot 6/\pi^2$  as  $n$  goes large

◇ Probability that a prime number  $p$  is a factor of a random number  $r$  is  $1/p$



◇ Probability that two independent random numbers  $r_1$  and  $r_2$  both have a given prime number  $p$  as a factor is  $1/p^2$

◇ The probability that they do not have  $p$  as a common factor is thus  $1 - 1/p^2$

◇ The probability that two numbers  $r_1$  and  $r_2$  have no common prime factor is  $P = (1-1/2^2)(1-1/3^2)(1-1/5^2)(1-1/7^2)\dots$

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## $\Pr \{ r_1 \text{ and } r_2 \text{ relatively prime} \}$

◇ Equalities:

$$\frac{1}{1-x} = 1+x+x^2+x^3+\dots$$

$$1 + 1/2^2 + 1/3^2 + 1/4^2 + 1/5^2 + 1/6^2 + \dots = \pi^2/6$$

$$\diamond P = (1-1/2^2)(1-1/3^2)(1-1/5^2)(1-1/7^2) \cdot \dots$$

$$\cong ((1+1/2^2+1/2^4+\dots)(1+1/3^2+1/3^4+\dots) \cdot \dots)^{-1}$$

$$= (1+1/2^2+1/3^2+1/4^2+1/5^2+1/6^2+\dots)^{-1}$$

$$= 6/\pi^2$$

$$\approx 0.61$$

each positive number has a unique prime number factorization

ex.  $45^2 = 3^4 \cdot 5^2$

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## How large is $\phi(n)$ ?

◇  $\phi(n)$  is the number of integers less than  $n$  that are relative prime to  $n$

◇  $\phi(n)/n$  is the probability that a randomly chosen integer is relatively prime to  $n$

◇ Therefore,  $\phi(n) \approx n \cdot 6/\pi^2$

◇  $P_n = \Pr \{ n \text{ random numbers have no common factor} \}$

★  $n$  independent random numbers all have a given prime  $p$  as a factor is  $1/p^n$

★ They do not all have  $p$  as a common factor  $1 - 1/p^n$

★  $P_n = (1+1/2^n+1/3^n+1/4^n+1/5^n+1/6^n+\dots)^{-1}$  is the Riemann zeta function  $\zeta(n)$  <http://mathworld.wolfram.com/RiemannZetaFunction.html>

★ Ex.  $n=4$ ,  $\zeta(4) = \pi^4/90 \approx 0.92$

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# Euler's Theorem

This is true even when  $n = p^2$

◇ If  $\gcd(a,n)=1$  then  $a^{\phi(n)} \equiv 1 \pmod{n}$

Proof: ☆ let  $S$  be the set of integers  $1 \leq x < n$ , with  $\gcd(x, n) = 1$ ,  
define  $\psi(x) \equiv a \cdot x \pmod{n}$  be a mapping  $\psi: S \rightarrow Z$

☆  $\forall x \in S$  and  $\gcd(a, n) = 1$ , if  $\psi(x) \equiv a \cdot x \equiv 0 \pmod{n} \Rightarrow x \equiv 0 \pmod{n}$   
 $\psi(x) \not\equiv 0 \pmod{n}$  gcd(a, n)=1 and gcd(x, n) = 1  
 $\gcd(\psi(x), n) = 1 \Rightarrow \forall x \in S, \psi(x) \in S$ , i.e.  $\psi: S \rightarrow S$

☆  $\forall x, y \in S$ , 'if  $x \neq y$  then  $\psi(x) \not\equiv \psi(y) \pmod{n}$ '  
if  $\psi(x) \equiv \psi(y) \Rightarrow a \cdot x \equiv a \cdot y \Rightarrow x \equiv y$  since  $\gcd(a, n) = 1$

☆ from the above two observations,  $\forall x \in S$ ,  $\psi(x)$  are distinct elements of  $S$  (i.e.  $\{\psi(x) \mid \forall x \in S\}$  is  $S$ )

$$\star \prod_{x \in S} x \equiv \prod_{x \in S} \psi(x) \equiv a^{\phi(n)} \prod_{x \in S} x \pmod{n}$$

☆ since  $\gcd(x, n) = 1$  for  $x \in S$ , we can divide both side by  $x \in S$  one after another, and obtain  $a^{\phi(n)} \equiv 1 \pmod{n}$

# Euler's Theorem

◇ Example: What are the last three digits of  $7^{803}$ ?

i.e. we want to find  $7^{803} \pmod{1000}$

$$1000 = 2^3 \cdot 5^3, \quad \phi(1000) = 1000(1-1/2)(1-1/5) = 400$$

$$7^{803} \equiv 7^{803 \pmod{400}} \equiv 7^3 \equiv 343 \pmod{1000}$$

◇ Example: Compute  $2^{43210} \pmod{101}$ ?

$$101 = 1 \cdot 101, \quad \phi(101) = 100$$

$$2^{43210} \equiv 2^{43210 \pmod{100}} \equiv 2^{10} \equiv 1024 \equiv 14 \pmod{101}$$

# A second proof of Euler's Theorem

Euler's Theorem:  $\forall a \in Z_n^*, a^{\phi(n)} \equiv 1 \pmod{n}$

◇ We have proved the above theorem by showing that the function  $\psi(x) \equiv a \cdot x \pmod{n}$  is a permutation.

◇ We can also prove it through Fermat's Little Theorem

consider  $n = p \cdot q$ ,

$$\forall a \in Z_p^*, a^{p-1} \equiv 1 \pmod{p} \Rightarrow (a^{p-1})^{q-1} \equiv a^{\phi(n)} \equiv 1 \pmod{p}$$

$$\forall a \in Z_q^*, a^{q-1} \equiv 1 \pmod{q} \Rightarrow (a^{q-1})^{p-1} \equiv a^{\phi(n)} \equiv 1 \pmod{q}$$

from CRT,  $\forall a \in Z_n^*$  (i.e.  $p \nmid a$  and  $q \nmid a$ ),

$$a^{\phi(n)} \equiv 1 \pmod{n}$$

note: the above proof is not valid when  $p=q$

# Carmichael Theorem

Carmichael's Theorem:

$$\forall a \in Z_n^*, a^{\lambda(n)} \equiv 1 \pmod{n} \text{ and } a^{n \cdot \lambda(n)} \equiv 1 \pmod{n^2}$$

where  $n=p \cdot q, p \neq q, \lambda(n) = \text{lcm}(p-1, q-1), \lambda(n) \mid \phi(n)$

◇ like Euler's Theorem, we can prove it through Fermat's

Little Theorem, consider  $n = p \cdot q$ , where  $p \neq q$ ,

$$\forall a \in Z_p^*, a^{p-1} \equiv 1 \pmod{p} \Rightarrow (a^{p-1})^{(q-1)/\gcd(p-1, q-1)} \equiv a^{\lambda(n)} \equiv 1 \pmod{p}$$

$$\forall a \in Z_q^*, a^{q-1} \equiv 1 \pmod{q} \Rightarrow (a^{q-1})^{(p-1)/\gcd(p-1, q-1)} \equiv a^{\lambda(n)} \equiv 1 \pmod{q}$$

from CRT,  $\forall a \in Z_n^*$  (i.e.  $p \nmid a$  and  $q \nmid a$ ),  $a^{\lambda(n)} \equiv 1 \pmod{n}$

therefore,  $\forall a \in Z_n^*, a^{\lambda(n)} = 1 + k \cdot n$

raise both side to the  $n$ -th power, we get  $a^{n \cdot \lambda(n)} = (1 + k \cdot n)^n$ ,

$$\Rightarrow a^{n \cdot \lambda(n)} = 1 + n \cdot k \cdot n + \dots \Rightarrow \forall a \in Z_n^* \text{ (or } Z_{n^2}^*), a^{n \cdot \lambda(n)} \equiv 1 \pmod{n^2}$$

## Basic Principle to do Exponentiation

- Let  $a, n, x, y$  be integers with  $n \geq 1$ , and  $\gcd(a, n) = 1$  if  $x \equiv y \pmod{\phi(n)}$ , then  $a^x \equiv a^y \pmod{n}$ .
- If you want to work mod  $n$ , you should work mod  $\phi(n)$  or  $\lambda(n)$  in the exponent.

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## Primitive Roots modulo $p$

- When  $p$  is a prime number, a primitive root modulo  $p$  is a number whose powers yield every nonzero element mod  $p$ . (equivalently, the order of a primitive root is  $p-1$ )
- ex:  $3^1 \equiv 3, 3^2 \equiv 2, 3^3 \equiv 6, 3^4 \equiv 4, 3^5 \equiv 5, 3^6 \equiv 1 \pmod{7}$   
3 is a primitive root mod 7
- sometimes called a multiplicative generator
- there are plenty of primitive roots, actually  $\phi(p-1)$ 
  - ex.  $p=101, \phi(p-1)=100 \cdot (1-1/2) \cdot (1-1/5)=40$   
 $p=143537, \phi(p-1)=143536 \cdot (1-1/2) \cdot (1-1/8971)=71760$

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## Primitive Testing Procedure

- How do we test whether  $h$  is a primitive root modulo  $p$ ?
  - naïve method:  
go through all powers  $h^2, h^3, \dots, h^{p-2}$ , and make sure  $\neq 1$  modulo  $p$
  - faster method:  
assume  $p-1$  has prime factors  $q_1, q_2, \dots, q_n$ ,  
for all  $q_i$ , make sure  $h^{(p-1)/q_i}$  modulo  $p$  is not 1,  
then  $h$  is a primitive root

Intuition: let  $h \equiv g^a \pmod{p}$ , if  $\gcd(a, p-1) = d$  (i.e.  $g^a$  is not a primitive root),  $(g^a)^{(p-1)/q_i} \equiv (g^{a/q_i})^{(p-1)} \equiv 1 \pmod{p}$  for some  $q_i \mid d$

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## Primitive Testing Procedure (cont'd)

- Procedure to test a primitive  $g$ :

assuming  $p-1$  has prime factors  $q_1, q_2, \dots, q_n$ , (i.e.  $p-1 = q_1^{r_1} \dots q_n^{r_n}$ )  
for all  $q_i$ , make sure  $g^{(p-1)/q_i} \pmod{p}$  is not 1

Proof:

- by definition,  $g^{\text{ord}_p(g)} \equiv 1 \pmod{p}$ ,  $g^{\phi(p)} \equiv 1 \pmod{p}$  therefore  $\text{ord}_p(g) \leq \phi(p)$   
if  $\phi(p) = \text{ord}_p(g) * k + s$  with  $s < \text{ord}_p(g)$   
 $g^{\phi(p)} \equiv g^{\text{ord}_p(g) * k} g^s \equiv g^s \equiv 1 \pmod{p}$ , but  $s < \text{ord}_p(g) \Rightarrow s = 0$   
 $\Rightarrow \text{ord}_p(g) \mid \phi(p)$  and  $\text{ord}_p(g) \leq \phi(p)$
- assume  $g$  is not a primitive root i.e.  $\text{ord}_p(g) < \phi(p) = p-1$   
then  $\exists i$ , such that  $\text{ord}_p(g) \mid (p-1)/q_i$  i.e.  $g^{(p-1)/q_i} \equiv 1 \pmod{p}$  for some  $q_i$
- if for all  $q_i$ ,  $g^{(p-1)/q_i} \not\equiv 1 \pmod{p}$   
then  $\text{ord}_p(g) = \phi(p)$  and  $g$  is a primitive root modulo  $p$

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## Number of Primitive Root in $Z_p^*$

- ◇ Why are there  $\phi(p-1)$  primitive roots?
  - ★ let  $g$  be a primitive root (the order of  $g$  is  $p-1$ )
  - ★  $g, g^2, g^3, \dots, g^{p-1}$  is a permutation of  $1, 2, \dots, p-1$  an integer less than  $p-1$
  - ★ if  $\gcd(a, p-1)=d$ , then  $(g^a)^{(p-1)/d} \equiv (g^{a/d})^{(p-1)} \equiv 1 \pmod{p}$  which says that the order of  $g^a$  is at most  $(p-1)/d$ , therefore,  $g^a$  is not a primitive root  $\Rightarrow$  There are at most  $\phi(p-1)$  primitive roots in  $Z_p^*$
  - ★ For an element  $g^a$  in  $Z_p^*$  where  $\gcd(a, p-1) = 1$ , it is guaranteed that  $(g^a)^{(p-1)/q_i} \not\equiv 1 \pmod{p}$  for all  $q_i$  ( $q_i$  is factors of  $p-1$ )
    - assume that for a certain  $q_i$ ,  $(g^a)^{(p-1)/q_i} \equiv 1 \pmod{p}$
    - $\Rightarrow p-1 \mid a \cdot (p-1) / q_i$
    - $\Rightarrow \exists$  integer  $k$ ,  $a \cdot (p-1) / q_i = k \cdot (p-1)$  i.e.  $a = k \cdot q_i$
    - $\Rightarrow q_i \mid a$
    - $\Rightarrow q_i \mid \gcd(a, p-1)$  contradiction

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## Multiplicative Generators in $Z_n^*$

- ◇ How do we define a multiplicative generator in  $Z_n^*$  if  $n$  is a composite number?
  - ★ Is there an element in  $Z_n^*$  that can generate all elements of  $Z_n^*$ ?
  - ★ If  $n = p \cdot q$ , the answer is negative. From Carmichael theorem,  $\forall a \in Z_n^*$ ,  $a^{\lambda(n)} \equiv 1 \pmod{n}$ ,  $\gcd(p-1, q-1)$  is at least 2,  $\lambda(n) = \text{lcm}(p-1, q-1)$  is at most  $\phi(n) / 2$ . The size of a maximal possible multiplicative subgroup in  $Z_n^*$  is therefore less than  $\lambda(n)$ .
  - ★ How many elements in  $Z_n^*$  can generate the maximal possible subgroup of  $Z_n^*$ ?

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## Finding Square Roots mod $n$

- ◇ For example: find  $x$  such that  $x^2 \equiv 71 \pmod{77}$ 
    - ★ Is there any solution?
    - ★ How many solutions are there?
    - ★ How do we solve the above equation systematically?
  - ◇ In general: find  $x$  s.t.  $x^2 \equiv b \pmod{n}$ ,  
 where  $b \in \text{QR}_n$ ,  $n = p \cdot q$ , and  $p, q$  are prime numbers
  - ◇ Easier case: find  $x$  s.t.  $x^2 \equiv b \pmod{p}$ ,  
 where  $p$  is a prime number,  $b \in \text{QR}_p$
- Note:  $\text{QR}_n$  is "Quadratic Residue in  $Z_n^*$ " to be defined later

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## Finding Square Root mod $p$

- ◇ Given  $y \in Z_p^*$ , find  $x$ , s.t.  $x^2 \equiv y \pmod{p}$ ,  $p$  is prime
  - Two cases:
    - ▷  $p \equiv 1 \pmod{4}$  (i.e.  $p = 4k + 1$ ) : probabilistic algorithm
    - ▷  $p \equiv 3 \pmod{4}$  (i.e.  $p = 4k + 3$ ) : deterministic algorithm
  - ◇ Is there any solution?
    - check  $y^{\frac{p-1}{2}} \not\equiv 1 \pmod{p}$  Is  $y$  a  $\text{QR}_p$ ?
  - ◇  $p \equiv 3 \pmod{4}$ 
    - $x \equiv \pm y^{\frac{p+1}{4}} \pmod{p}$
    - ★  $(p+1)/4 = (4k+3+1)/4 = k+1$  is an integer
    - ★  $x^2 = y^{(p+1)/2} = y^{(p-1)/2} \cdot y \equiv y \pmod{p}$

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## Finding Square Root mod $p$

◇  $p \equiv 1 \pmod{4}$

★ Peralta, Eurocrypt'86,  $p = 2^s q + 1$

★ 3-step probabilistic procedure

1. Choose a random number  $r$ , if  $r^2 \equiv y \pmod{p}$ , output  $x = r$
2. Calculate  $(r + z)^{(p-1)/2} \equiv u + v z \pmod{f(z)}$ ,  $f(z) = z^2 - y$
3. If  $u = 0$  then output  $x \equiv v^{-1} \pmod{p}$ , else goto step 1

note:  $(b+cz)(d+ez) \equiv (bd+ce z^2) + (be+cd) z$   
 $\equiv (bd+ce y) + (be+cd) z \pmod{z^2-y}$   
 use *square-multiply* algorithm to calculate  $(r + z)^{(p-1)/2}$

★ the probability to successfully find  $x$  for each  $r \geq 1/2$

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## Finding Square Root mod $p$

◇ ex: finding  $x$  such that  $x^2 \equiv 12 \pmod{13}$

solution:

- ◇  $13 \equiv 1 \pmod{4}$
- ◇ choose  $r = 3$ ,  $3^2 = 9 \neq 12$
- ◇  $(3 + z)^{(13-1)/2} = (3 + z)^6 \equiv 12 + 0 z \pmod{z^2-12}$
- ◇ choose  $r = 7$ ,  $7^2 \equiv 10 \neq 12$
- ◇  $(7 + z)^{(13-1)/2} = (7 + z)^6 \equiv 0 + 8 z \pmod{z^2-12}$   
 $\Rightarrow x = 8^{-1} = 5 \pmod{13}$

Why does it work???

Why is the success probability  $> 1/2$  ???

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## Finding Square Roots mod $n$

◇ Now we return to the question of solving square roots in  $Z_n^*$ , i.e.

for an integer  $y \in QR_n$ ,

find  $x \in Z_n^*$  such that  $x^2 \equiv y \pmod{n}$

◇ We would like to transform the problem into solving square roots mod  $p$ .

◇ Question: for  $n=p \cdot q$

Is solving “ $x^2 \equiv y \pmod{n}$ ” equivalent to solving “ $x^2 \equiv y \pmod{p}$  and  $x^2 \equiv y \pmod{q}$ ”???

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## Finding Square Roots mod $p \cdot q$

◇ find  $x$  such that  $x^2 \equiv 71 \pmod{77}$

- ★  $77 = 7 \cdot 11$
- ★ “ $x^*$  satisfies  $f(x^*) \equiv 71 \pmod{77}$ ”  $\Leftrightarrow$  “ $x^*$  satisfies both  $f(x^*) \equiv 1 \pmod{7}$  and  $f(x^*) \equiv 5 \pmod{11}$ ”
- ★ since 7 and 11 are prime numbers, we can solve  $x^2 \equiv 1 \pmod{7}$  and  $x^2 \equiv 5 \pmod{11}$  far more easily than  $x^2 \equiv 71 \pmod{77}$ 
  - $x^2 \equiv 1 \pmod{7}$  has two solutions:  $x \equiv \pm 1 \pmod{7}$
  - $x^2 \equiv 5 \pmod{11}$  has two solutions:  $x \equiv \pm 4 \pmod{11}$
- ★ put them together and use CRT to calculate the four solutions
  - $x \equiv 1 \pmod{7} \equiv 4 \pmod{11} \Rightarrow x \equiv 15 \pmod{77}$
  - $x \equiv 1 \pmod{7} \equiv 7 \pmod{11} \Rightarrow x \equiv 29 \pmod{77}$
  - $x \equiv 6 \pmod{7} \equiv 4 \pmod{11} \Rightarrow x \equiv 48 \pmod{77}$
  - $x \equiv 6 \pmod{7} \equiv 7 \pmod{11} \Rightarrow x \equiv 62 \pmod{77}$

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## Computational Equivalence to Factoring

- ◇ Previous slides show that once you know the factoring of  $n$  to be  $p$  and  $q$ , you can easily solve the square roots of  $n$
- ◇ Indeed, if you can solve the square roots for one single quadratic residue mod  $n$ , you can factor  $n$ .
  - ★ from the four solutions  $\pm a, \pm b$  on the previous slide
    - $x \equiv c \pmod{p} \equiv d \pmod{q} \Rightarrow x \equiv a \pmod{p \cdot q}$
    - $x \equiv c \pmod{p} \equiv -d \pmod{q} \Rightarrow x \equiv b \pmod{p \cdot q}$
    - $x \equiv -c \pmod{p} \equiv d \pmod{q} \Rightarrow x \equiv -b \pmod{p \cdot q}$
    - $x \equiv -c \pmod{p} \equiv -d \pmod{q} \Rightarrow x \equiv -a \pmod{p \cdot q}$
 we can find out  $a \equiv b \pmod{p}$  and  $a \equiv -b \pmod{q}$   
 (or equivalently  $a \equiv -b \pmod{p}$  and  $a \equiv b \pmod{q}$ )
  - ★ therefore,  $p \mid (a-b)$  i.e.  $\gcd(a-b, n) = p$  (ex.  $\gcd(15-29, 77)=7$ )  
 $q \mid (a+b)$  i.e.  $\gcd(a+b, n) = q$  (ex.  $\gcd(15+29, 77)=11$ )

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## Quadratic Residues

- ◇ Consider  $y \in \mathbb{Z}_n^*$ , if  $\exists x \in \mathbb{Z}_n^*$ , such that  $x^2 \equiv y \pmod{n}$ , then  $y$  is called a quadratic residue mod  $n$ , i.e.  $y \in \text{QR}_n$
- ◇ If the modulus is a prime number  $p$ , there are  $(p-1)/2$  quadratic residues in  $\mathbb{Z}_p^*$ 
  - ★ let  $g$  be a primitive root in  $\mathbb{Z}_p^*$ ,  $\{g, g^2, g^3, \dots, g^{p-1}\}$  is a permutation of  $\{1, 2, \dots, p-1\}$
  - ★ in the above set,  $\{g^2, g^4, \dots, g^{p-1}\}$  are quadratic residues ( $\text{QR}_p$ )
  - ★  $\{g, g^3, \dots, g^{p-2}\}$  are quadratic non-residues ( $\text{QNR}_p$ ), out of which there are  $\phi(p-1)$  primitive roots

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## Quadratic Residues in $\mathbb{Z}_p^*$

1<sup>st</sup> proof:

- ★ For each  $x \in \mathbb{Z}_p^*$ ,  $p-x \neq x \pmod{p}$  (since if  $x$  is odd,  $p-x$  is even), it's clear that  $x$  and  $p-x$  are both square roots of a certain  $y \in \mathbb{Z}_p^*$ ,
- ★ Because there are only  $p-1$  elements in  $\mathbb{Z}_p^*$ , we know that  $|\text{QR}_p| \leq (p-1)/2$
- ★ Because  $|\{g^2, g^4, \dots, g^{p-1}\}| = (p-1)/2$ , there can be no more quadratic residues outside this set. Therefore, the set  $\{g, g^3, \dots, g^{p-2}\}$  contains only quadratic non-residues

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## Quadratic Residues in $\mathbb{Z}_p^*$

2<sup>nd</sup> proof:

- ★ Because the squares of  $x$  and  $p-x$  are the same, the number of quadratic residues must be less than  $p-1$  (i.e. some element in  $\mathbb{Z}_p^*$  must be quadratic non-residue)
- ★ Consider this set  $\{g, g^3, \dots, g^{p-2}\}$  directly
- ★ If  $g \in \text{QR}_p$ , then  $g$  cannot be a primitive (because  $g^k$  must all be quadratic residues)
- ★ If  $g^{2k+1} \equiv g^{2k} \cdot g \in \text{QR}_p$ , then there exists an  $x \in \mathbb{Z}_p^*$  such that  $x^2 \equiv g^{2k} \cdot g \pmod{p}$
- ★ Because  $\gcd(g^{2k}, p)=1$ ,  $g \equiv x^2 \cdot (g^{2k})^{-1} \equiv (x \cdot (g^{-1})^k)^2 \in \text{QR}_p$   
contradiction
- ★ i.e.  $g^{2k+1} \in \text{QNR}_p$

$$\begin{aligned} (g^{2k})^{-1}(g^{2k}) &\equiv (g^{2k})^{-1}g \cdot g \cdot \dots \cdot g \equiv 1 \pmod{p} \\ \Rightarrow (g^{2k})^{-1} &\equiv g^{-1} \cdot g^{-1} \cdot \dots \cdot g^{-1} \equiv (g^{-1})^{2k} \equiv ((g^{-1})^k)^2 \end{aligned}$$

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## Quadratic Residues in $Z_p^*$

◇ ex.  $p=143537, p-1=143536=2^4 \cdot 8971,$

$$\phi(p-1)=2^4 \cdot 8971 \cdot (1-1/2) \cdot (1-1/8971)=71768$$

primitives,

$$(p-1)/2=71768 \text{ QR}_p \text{'s and } 71768 \text{ QNR}_p \text{'s}$$

\* Note: if  $g$  is a primitive, then  $g^3, g^5 \dots$  are also primitives  
except the following 8 numbers  $g^{8971}, g^{8971 \cdot 2}, \dots, g^{8971 \cdot 15}$

\* Elements in  $Z_p^*$  can be classified further according to their order

since  $\forall x \in Z_p^*, \text{ord}_p(x) \mid p-1$ , we can list all possible orders

$\text{ord}_p(x)$	$p-1$	$\frac{p-1}{2}$	$\frac{p-1}{4}$	$\frac{p-1}{8}$	$\frac{p-1}{16}$	$\frac{p-1}{8971}$	$\frac{p-1}{8971 \cdot 2}$	$\frac{p-1}{8971 \cdot 4}$	$\frac{p-1}{8971 \cdot 8}$	$\frac{p-1}{8971 \cdot 16}$
	QNR <sub>p</sub>	QR <sub>p</sub>	QR <sub>p</sub>	QR <sub>p</sub>	QR <sub>p</sub>	QNR <sub>p</sub>	QR <sub>p</sub>	QR <sub>p</sub>	QR <sub>p</sub>	QR <sub>p</sub>
#	$\phi(p-1)$					8				

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## Composite Quadratic Residues

◇ If  $y$  is a quadratic residue modulo  $n$ , it must be a quadratic residue modulo all prime factors of  $n$ .

$$\exists x \in Z_n^* \text{ s.t. } x^2 \equiv y \pmod{n} \Leftrightarrow x^2 = k \cdot n + y = k \cdot p \cdot q + y \\ \Rightarrow x^2 \equiv y \pmod{p} \text{ and } x^2 \equiv y \pmod{q}$$

◇ If  $y$  is a quadratic residue modulo  $p$  and also a quadratic residue modulo  $q$ , then  $y$  is a quadratic residue modulo  $n$ .

$$\exists r_1 \in Z_p^* \text{ and } r_2 \in Z_q^* \text{ such that} \\ y \equiv r_1^2 \pmod{p} \equiv (r_1 \pmod{p})^2 \pmod{p} \\ \equiv r_2^2 \pmod{q} \equiv (r_2 \pmod{q})^2 \pmod{q}$$

from CRT,  $\exists! r \in Z_n^*$  such that  $r \equiv r_1 \pmod{p} \equiv r_2 \pmod{q}$

therefore,  $y \equiv r^2 \pmod{p} \equiv r^2 \pmod{q}$

again from CRT,  $y \equiv r^2 \pmod{p \cdot q}$

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## Legendre Symbol

◇ Legendre symbol  $L(a, p)$  is defined when  $a$  is any integer,  $p$  is a prime number greater than 2

- \*  $L(a, p) = 0$  if  $p \mid a$
- \*  $L(a, p) = 1$  if  $a$  is a quadratic residue mod  $p$
- \*  $L(a, p) = -1$  if  $a$  is a quadratic non-residue mod  $p$

◇ Two methods to compute  $(a/p)$

- \*  $(a/p) = a^{(p-1)/2} \pmod{p}$
- \* recursively calculate by  $L(a \cdot b, p) = L(a, p) \cdot L(b, p)$ 
  1. If  $a = 1$ ,  $L(a, p) = 1$
  2. If  $a$  is even,  $L(a, p) = L(a/2, p) \cdot (-1)^{(p^2-1)/8}$
  3. If  $a$  is odd prime,  $L(a, p) = L((p \bmod a), a) \cdot (-1)^{(a-1)(p-1)/4}$

◇ Legendre symbol  $L(a, p) = -1$  if  $a \in \text{QNR}_p$

$$L(a, p) = 1 \text{ if } a \in \text{QR}_p$$

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## Legendre Symbol


$$y \in \text{QR}_p \Leftrightarrow y^{(p-1)/2} \equiv 1 \pmod{p}$$

( $\Rightarrow$ )

- \* If  $y \in \text{QR}_p$
- \* Then  $\exists x \in Z_p^*$  such that  $y \equiv x^2 \pmod{p}$
- \* Therefore,  $y^{(p-1)/2} \equiv (x^2)^{(p-1)/2} \equiv x^{(p-1)} \equiv 1 \pmod{p}$

( $\Leftarrow$ )

- \* If  $y \notin \text{QR}_p$  i.e.  $y \in \text{QNR}_p$
- \* Then  $y \equiv g^{2k+1} \pmod{p}$
- \* Therefore,  $y^{(p-1)/2} \equiv (g^{2k+1})^{(p-1)/2} \equiv g^{k(p-1)} g^{(p-1)/2} \equiv g^{(p-1)/2} \not\equiv 1 \pmod{p}$



$$\text{ord}_p(g) = p-1$$

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## Jacobi Symbol

- ✧ Jacobi symbol  $J(a, n)$  is a generalization of the Legendre symbol to a composite modulus  $n$
- ✧ If  $n$  is a prime,  $J(a, n)$  is equal to the Legendre symbol i.e.  $J(a, n) \equiv a^{(n-1)/2} \pmod{n}$
- ✧ Jacobi symbol can not be used to determine whether  $a$  is a quadratic residue mod  $n$  (unless  $n$  is a prime)  
 ex.  $J(7, 143) = J(7, 11) \cdot J(7, 13) = (-1) \cdot (-1) = 1$   
 however, there is no integer  $x$  such that  
 $x^2 \equiv 7 \pmod{143}$

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## Calculation of Jacobi Symbol

- ✧ The following algorithm computes the Jacobi symbol  $J(a, n)$ , for any integer  $a$  and odd integer  $n$ , recursively:
  - \* Def 1:  $J(0, n) = 0$  also If  $n$  is prime,  $J(a, n) = 0$  if  $n|a$
  - \* Def 2: If  $n$  is prime,  $J(a, n) = 1$  if  $a \in QR_n$  and  $J(a, n) = -1$  if  $a \notin QR_n$
  - \* Def 3: If  $n$  is a composite,  $J(a, n) = J(a, p_1 \cdot p_2 \dots p_m) = J(a, p_1) \cdot J(a, p_2) \dots J(a, p_m)$
  - \* Rule 1:  $J(1, n) = 1$
  - \* Rule 2:  $J(a \cdot b, n) = J(a, n) \cdot J(b, n)$
  - \* Rule 3:  $J(2, n) = 1$  if  $(n^2-1)/8$  is even and  $J(2, n) = -1$  otherwise
  - \* Rule 4:  $J(a, n) = J(a \bmod n, n)$
  - \* Rule 5:  $J(a, b) = J(-a, b)$  if  $a < 0$  and  $(b-1)/2$  is even,  
 $J(a, b) = -J(-a, b)$  if  $a < 0$  and  $(b-1)/2$  is odd
  - \* Rule 6:  $J(a, b_1 \cdot b_2) = J(a, b_1) \cdot J(a, b_2)$
  - \* Rule 7: if  $\gcd(a, b) = 1$ ,  $a$  and  $b$  are odd
    - ✧ 7a:  $J(a, b) = J(b, a)$  if  $(a-1) \cdot (b-1)/4$  is even
    - ✧ 7b:  $J(a, b) = -J(b, a)$  if  $(a-1) \cdot (b-1)/4$  is odd

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## $QR_n$ and Jacobi Symbol

- ✧ Consider  $n = p \cdot q$ , where  $p$  and  $q$  are prime numbers
  - $\forall x \in \mathbb{Z}_n^*, x \in QR_n$
  - $\Leftrightarrow x \in QR_p$  and  $x \in QR_q$
  - $\Leftrightarrow J(x, p) = x^{(p-1)/2} \equiv 1 \pmod{p}$  and  $J(x, q) = x^{(q-1)/2} \equiv 1 \pmod{q}$
  - $\Rightarrow J(x, n) = J(x, p) \cdot J(x, q) = 1$

	$J(x, p)$	$J(x, q)$	$J(x, n)$	
$Q_{00}$	1	1	1	$x \in QR_n$
$Q_{01}$	1	-1	-1	$x \in QNR_n$
$Q_{10}$	-1	1	-1	$x \in QNR_n$
$Q_{11}$	-1	-1	1	$x \in QNR_n$

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## Wilson's Theorem

$$(p-1)! \equiv -1 \pmod{p}$$

Proof:

- Goal:  $(p-1)! \equiv 1 \cdot 2 \cdot 3 \cdots (p-1) \equiv -1 \equiv (p-1) \pmod{p}$
- \* Since  $\gcd(p-1, p) = 1$ , the above is equivalent to  $(p-2)! \equiv 1 \pmod{p}$
- \* e.g.  $p = 5, \quad 3 \cdot 2 \cdot 1 \equiv 1 \pmod{5}$   
 $p = 7, \quad 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 \equiv 1 \pmod{7}$
- \* We know that  $1^{-1} \equiv 1 \pmod{p}$  and  $(-1)^{-1} \equiv -1 \pmod{p}$
- \* Claim:  $\forall i \in \mathbb{Z}_p^* \setminus \{1, -1\}, i^{-1} \neq i$  (pf: if  $i^{-1} \neq i$  then  $i^2 \equiv 1, i \in \{1, -1\}$ )
- \* Claim:  $\forall i_1 \neq i_2 \in \mathbb{Z}_p^* \setminus \{1, -1\}, i_1^{-1} \neq i_2^{-1}$  (pf: if  $i_1^{-1} \equiv i_2^{-1}$  then  $i_1 \cdot i_2^{-1} \equiv 1$  i.e.  $i_1 \equiv i_2$ , contradiction)
- \* Out of the set  $\{2, 3, \dots, p-2\}$ , we can form  $(p-3)/2$  pairs such that  $i \cdot j \equiv 1 \pmod{p}$ , multiply them together, we obtain  $(p-2)! \equiv 1$

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## Another Proof

$$y \in \text{QR}_p \Leftrightarrow y^{(p-1)/2} \equiv 1 \pmod{p}$$

( $\Rightarrow$ )

- \* If  $y \in \text{QR}_p$
- \* Then  $\exists x \in \mathbb{Z}_p^*$  such that  $y \equiv x^2 \pmod{p}$
- \* Therefore,  $y^{(p-1)/2} \equiv (x^2)^{(p-1)/2} \equiv x^{(p-1)} \equiv 1 \pmod{p}$

( $\Leftarrow$ )

- \* Since  $\forall i \in \mathbb{Z}_p^*$ ,  $\gcd(i, p) = 1$ ,  $\exists j$  such that  $i \cdot j \equiv y \pmod{p}$
- \* If  $y \notin \text{QR}_p$ , the congruence  $x^2 \equiv y \pmod{p}$  has no solution, therefore,  $j \not\equiv i \pmod{p}$
- \* We can group the integers  $1, 2, \dots, p-1$  into  $(p-1)/2$  pairs  $(i, j)$ , each satisfying  $i \cdot j \equiv y \pmod{p}$
- \* Multiply them together, we have  $(p-1)! \equiv y^{(p-1)/2} \pmod{p}$
- \* From Wilson's theorem,  $y^{(p-1)/2} \equiv -1 \pmod{p}$

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## Exactly Two Square Roots

Every  $y \in \text{QR}_p$  has exactly two square roots

i.e.  $x$  and  $p-x$  such that  $x^2 \equiv y \pmod{p}$

- pf: \*
- \*  $\text{QR}_p = \{g^2, g^4, \dots, g^{p-1}\}$ ,  $|\mathbb{Z}_p^*| = p-1$ , and  $|\text{QR}_p| = (p-1)/2$
  - \* For each  $y \equiv g^{2k}$  in  $\text{QR}_p$ , there are at least two distinct  $x \in \mathbb{Z}_p^*$  s.t.  $x^2 \equiv y \pmod{p}$ , i.e.,  $g^k$  and  $p-g^k$  (if one is even, the other is odd)
  - \* Since  $|\text{QR}_p| = (p-1)/2$ , we can obtain a set of  $p-1$  square roots  $S = \{g, p-g, g^2, p-g^2, \dots, g^{(p-1)/2}, p-g^{(p-1)/2}\}$
  - \* Claim: the elements of  $S$  are all distinct (1.  $g^i \not\equiv g^j \pmod{p}$  when  $i \neq j$  since  $g$  is a primitive, 2.  $g^i \not\equiv -g^j \pmod{p}$  when  $i \neq j$ , otherwise  $(g^i + g^j)(g^i - g^j) \equiv g^{2i} - g^{2j} \equiv 0 \pmod{p}$  implies  $i \equiv j \pmod{(p-1)/2}$ , 3.  $g^i \not\equiv -g^i \pmod{p}$  since if one is even, the other is odd)
  - \* If there is one more square root  $z$  of  $y \equiv g^{2k}$  which is not  $g^k$  and  $-g^k$ , it must belong to  $S$  (which is  $\mathbb{Z}_p^*$ ), say  $g^l$ ,  $j \neq k$ , which would imply that  $g^{2j} \equiv g^{2k} \pmod{p}$ , and leads to contradiction

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## Order $q$ Subgroup $G_q$ of $\mathbb{Z}_p^*$

- ◇ Let  $p$  be a prime number,  $g$  be a primitive in  $\mathbb{Z}_p^*$
- ◇ Let  $p = k \cdot q + 1$  i.e.  $q \mid p-1$  where  $q$  is also a prime number
- ◇ Let  $G_q = \{g^k, g^{2k}, \dots, g^{q \cdot k} \equiv 1\}$
- ◇ Is  $G_q$  a subgroup in  $\mathbb{Z}_p^*$ ? YES  
 $\forall x, y \in G_q$ , it is clear that  $z \equiv g^{i \cdot k} \equiv x \cdot y \equiv g^{(i_1+i_2) \cdot k} \pmod{p}$  is also in  $G_q$ , where  $i \equiv i_1 + i_2 \pmod{q}$
- ◇ Is the order of the subgroup  $G_q$   $q$ ? YES  
 $\forall i_1, i_2 \in \mathbb{Z}_q$ ,  $i_1 \neq i_2$ ,  $g^{i_1 \cdot k} \not\equiv g^{i_2 \cdot k} \pmod{p}$  otherwise  $g$  is not a primitive in  $\mathbb{Z}_p^*$ , also  $g^{q \cdot k} \equiv 1 \pmod{p}$
- ◇ How many generators are there in  $G_q$ ?  $\phi(q) = q-1$ 
  - a. there are  $\phi(p-1)$  generators in  $\mathbb{Z}_p^* = \{g^1, g^2, \dots, g^x, \dots, g^{p-1}\}$ , since  $\gcd(p-1, x) = d > 1$  implies that  $\text{ord}_p(g^x) = (p-1)/d$

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## Order $q$ Subgroup $G_q$ (cont'd)

- also  $(g^x)^y \equiv 1 \pmod{p}$  and  $g^{p-1} \equiv 1 \pmod{p}$  implies that either  $x \cdot y \mid p-1$  or  $p-1 \mid x \cdot y$ ,  $\gcd(x, p-1) = 1$  implies that  $p-1 \mid y$  therefore,  $\text{ord}_p(g^x) = p-1$
- b. there are  $\phi(q)$  primitives in  $G_q = \{g^k, g^{2k}, \dots, g^{q \cdot k} \equiv 1\}$  since  $q$  is also a prime number
- ◇ Is  $G_q$  a unique order  $q$  subgroup in  $\mathbb{Z}_p^*$ ? YES  
 Let  $S$  be an order- $q$  cyclic subgroup,  $S = \{g, g^2, \dots, g^q \equiv 1\}$ . Since  $p$  is prime,  $\exists$  a unique  $k$ -th root  $g_1 \in \mathbb{Z}_p^*$ , s.t.  $g \equiv g_1^k \pmod{p}$   
 Let  $g_1 \neq g$  be another primitive, clearly  $g_1 \equiv g^s \pmod{p}$ ,  
 Is the set  $S = \{g_1^k, g_1^{2k}, \dots, g_1^{q \cdot k} \equiv 1\}$  different from  $G_q$ ?  
 let  $x \in S$ , i.e.  $x \equiv g_1^{i_1 \cdot k} \pmod{p}$ ,  $i_1 \in \mathbb{Z}_q$   
 $x \equiv g_1^{i_1 \cdot k} \equiv g^{s \cdot i_1 \cdot k} \equiv g^{i \cdot k} \pmod{p}$  where  $i \equiv s \cdot i_1 \pmod{q}$ , i.e.  $S \subseteq G_q$   
 The proof is similar for  $G_q \subseteq S$ . Therefore,  $S = G_q$

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## Gauss' Lemma

**Lemma:** let  $p$  be a prime,  $a$  is an integer s.t.  $\gcd(a, p)=1$ ,

define  $\alpha_j \equiv j \cdot a \pmod{p}$   $\}_{j=1, \dots, (p-1)/2}$ ,

let  $n$  be the number of  $\alpha_j$ 's s.t.  $\alpha_j > p/2$  then  $L(a, p) = (-1)^n$

pf.

- ★  $\alpha_j \in \{r_1, \dots, r_n\}$  if  $\alpha_j > p/2$  and  $\alpha_j \in \{s_1, \dots, s_{(p-1)/2-n}\}$  if  $\alpha_j < p/2$
- ★ Since  $\gcd(a, p)=1$ ,  $r_i$  and  $s_i$  are all distinct and non-zero
- ★ Clearly,  $0 < p-r_i < p/2$  for  $i=1, \dots, n$
- ★ no  $p-r_i$  is an  $s_j$ : if  $p-r_i=s_j$  then  $s_j \equiv -r_i \pmod{p}$   
rewrite in terms of  $a$ :  $u a \equiv -v a \pmod{p}$  where  $1 \leq u, v \leq (p-1)/2$   
 $\Rightarrow u \equiv -v \pmod{p}$  where  $1 \leq u, v \leq (p-1)/2 \Rightarrow$  impossible
- $\Rightarrow \{s_1, \dots, s_{(p-1)/2-n}, p-r_1, \dots, p-r_n\}$  is a reordering of  $\{1, 2, \dots, (p-1)/2\}$
- ★ Thus,  $((p-1)/2)! \equiv s_1 \cdots s_{(p-1)/2-n} \cdot (-r_1) \cdots (-r_n) \equiv (-1)^n s_1 \cdots s_{(p-1)/2-n} \cdot r_1 \cdots r_n$   
 $\equiv (-1)^n ((p-1)/2)! a^{(p-1)/2} \pmod{p} \Rightarrow L(a, p) = (-1)^n$

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## Theorem: $J(2, p) = (-1)^{(p^2-1)/8}$

**Theorem:** let  $p$  be a prime,  $\gcd(a, p) = 1$  then  $L(a, p) = (-1)^t$

where  $t = \sum_{j=1}^{(p-1)/2} \lfloor j \cdot a/p \rfloor$ . Also  $L(2, p) = (-1)^{(p^2-1)/8}$

pf.

- ★  $\alpha_j \in \{r_1, \dots, r_n\}$  if  $\alpha_j > p/2$  and  $\alpha_j \in \{s_1, \dots, s_{(p-1)/2-n}\}$  if  $\alpha_j < p/2$
- ★  $j a = p \lfloor j \cdot a/p \rfloor + \alpha_j$  for  $j=1, \dots, (p-1)/2$   
 $\Rightarrow \sum_{j=1}^{(p-1)/2} j a = \sum_{j=1}^{(p-1)/2} p \lfloor j \cdot a/p \rfloor + \sum_{j=1}^n r_j + \sum_{j=1}^{(p-1)/2-n} s_j$
- ★  $\{s_1, \dots, s_{(p-1)/2-n}, p-r_1, \dots, p-r_n\}$  is a reordering of  $\{1, 2, \dots, (p-1)/2\}$   
 $\Rightarrow \sum_{j=1}^{(p-1)/2} j = \sum_{j=1}^n (p-r_j) + \sum_{j=1}^{(p-1)/2-n} s_j = np - \sum_{j=1}^n r_j + \sum_{j=1}^{(p-1)/2-n} s_j$
- ★ Subtracting the above two equations, we have

$$(a-1) \sum_{j=1}^{(p-1)/2} j = p \left( \sum_{j=1}^{(p-1)/2} \lfloor j \cdot a/p \rfloor - n \right) + 2 \sum_{j=1}^n r_j$$

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## $J(2, p) = (-1)^{(p^2-1)/8}$ (cont'd)

- ★  $\sum_{j=1}^{(p-1)/2} j = 1 + \dots + (p-1)/2 = (p-1)/2 (1 + (p-1)/2) / 2 = (p^2-1)/8$
- ★ Thus, we have  $(a-1) (p^2-1)/8 \equiv \sum_{j=1}^{(p-1)/2} \lfloor j \cdot a/p \rfloor - n \pmod{2}$

- ★ If  $a$  is odd,  $n \equiv \sum_{j=1}^{(p-1)/2} \lfloor j \cdot a/p \rfloor$
- ★ If  $a = 2$ ,  $\lfloor j \cdot 2/p \rfloor = 0$  for  $j=1, \dots, (p-1)/2$ ,  $n \equiv (p^2-1)/8 \pmod{2}$

therefore,  $J(2, p) = (-1)^{(p^2-1)/8}$

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## Lemma. ord- $k$ elements in $Z_p^* \leq \phi(k)$

**Lemma.** There are at most  $\phi(k)$  ord- $k$  elements in  $Z_p^*$ ,  $k \mid p-1$

pf.

- ✧  $Z_p^*$  is a field  $\Rightarrow x^k-1 \equiv 0 \pmod{p}$  has at most  $k$  roots
- ✧ if  $a$  is a nontrivial root ( $a \neq 1$ ), then  $\{a^0, a^1, a^2, \dots, a^{k-1}\}$  is the set of the  $k$  distinct roots.
- ✧ In this set, those  $a^\ell$  with  $\gcd(\ell, k) = d > 1$  have order at most  $k/d$ .
- ✧ Only those  $a^\ell$  with  $\gcd(\ell, k) = 1$  might have order  $k$ .
- ✧ Hence, there are at most  $\phi(k)$  elements (out of  $k$  elements) that have order equal to  $k$ .

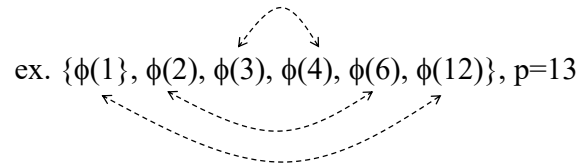
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## Lemma. $\sum_{k|p-1} \phi(k) = p-1$

**Lemma.**  $\sum_{k|p-1} \phi(k) = p-1$

pf.

$$\begin{aligned} p-1 &= \sum_{k|p-1} (\# \text{ a in } Z_p^* \text{ s.t. } \gcd(a, p-1) = k) \\ &= \sum_{k|p-1} (\# \text{ b in } \{1, \dots, (p-1)/k\} \text{ s.t. } \gcd(b, (p-1)/k) = 1) \\ &= \sum_{k|p-1} \phi((p-1)/k) \\ &= \sum_{k|p-1} \phi(k) \end{aligned}$$



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## $Z_p^*$ is a cyclic group

**Theorem:**  $Z_p^*$  is a *cyclic* group for a prime number  $p$

pf.

Lemma 1: # of ord- $k$  elements in  $Z_p^* \leq \phi(k)$ , where  $k | p-1$

Lemma 2:  $\sum_{k|p-1} \phi(k) = p-1$

The order  $k$  of every element in  $Z_p^*$  divides  $p-1$

$\Rightarrow \sum_{k|p-1} (\# \text{ of elements with order } k) = p-1$

$\Rightarrow \sum_{k|p-1} \phi(k) \geq p-1$ , combined with lemma 2, we know that  
# of ord- $k$  elements in  $Z_p^* = \phi(k)$

$\Rightarrow$  # of ord- $(p-1)$  elements in  $Z_p^* = \phi(p-1) > 1$

$\Rightarrow$  There is at least one generator in  $Z_p^*$ , i.e.  $Z_p^*$  is cyclic

Ex.  $p=13, p-1 = |\{1,5,7,11\}| + |\{2,10\}| + |\{3,9\}| + |\{4,8\}| + |\{6\}|$   
 $k=1 \quad k=2 \quad k=3 \quad k=4 \quad k=6$

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## Generators in $QR_n$

◇ Number of generators in  $Z_p^*$ :  $\phi(p-1)$

Let  $g$  be a primitive,  $Z_p^* = \langle g \rangle = \{g, g^2, g^3, \dots, g^k, \dots, g^{p-1}\}$

if  $\gcd(k, p-1) = d \neq 1$  then  $g^k$  is not a primitive

since  $(g^k)^{(p-1)/d} = (g^{k/d})^{p-1} = 1$ , i.e.  $\text{ord}_p(g^k) \leq (p-1)/d$

if  $\gcd(k, p-1) = 1$  and  $g^k$  is not a primitive, then  $d = \text{ord}_p(g^k) < p-1$ , i.e.

$(g^k)^d = 1$ ;  $g$  is a primitive  $\Rightarrow p-1 | kd \Rightarrow p-1 | d$  contradiction.

◇  $Z_n^*$  is not a cyclic group ( $n = p q, p=2p'+1, q=2q'+1, \lambda(n)=2p'q'$ )

Since  $x^{\lambda(n)} \equiv 1 \pmod{n}$ , there is no generator that can generate all members in  $Z_n^*$

◇  $QR_n$  is a cyclic group of order  $\lambda(n)/2 = \text{lcm}(p-1, q-1)/2 = p'q'$

$\forall x \in Z_n^*, x^{\lambda(n)} \equiv 1 \pmod{n}$  Carmichael's Theorem

clearly,  $(x^2)^{\lambda(n)/2} \equiv 1 \pmod{n}$ ,  $QR_n = \{x^2 | \forall x \in Z_n^*\}$

i.e.  $\forall y \in QR_n, \text{ord}_n(y) | p'q'$  ( $\text{ord}_n(y) \in \{1, p', q', p'q'\}$ )

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## Generators in $QR_n$ (cont'd)

cyclic?  $\exists x^* \in Z_n^* \text{ ord}_n(x^*) = \lambda(n) = 2 p' q' \Rightarrow$

$\exists y^* (= (x^*)^2) \in QR_n \text{ s.t. } \text{ord}_n(y^*) = \lambda(n)/2 = p' q'$

◇ Let  $y$  be a random element in  $QR_n$ , the probability that  $y$  is a generator is close to 1

Let  $y^*$  be a generator of  $QR_n$ ,

$QR_n = \langle y^* \rangle = \{y^*, (y^*)^2, (y^*)^3, \dots, (y^*)^k, \dots, (y^*)^{p'q'}\}$

if  $\gcd(k, p'q') = d \neq 1$  then  $(y^*)^k$  is not a generator

since  $((y^*)^k)^{p'q'/d} = ((y^*)^{k/d})^{p'q'} = 1$ , i.e.  $\text{ord}_p((y^*)^k) \leq (p'q')/d$

$\phi(p'q') = \phi(p') \phi(q') = (p'-1)(q'-1) = p'q' - p' - q' + 1$

$= p'q' - (p'-1) - (q'-1) - 1$

$\forall x \in \{(y^*)^{q'}, (y^*)^{2q'}, \dots, (y^*)^{(p'-1)q'}\} \text{ ord}_n(x) = p'$

$\forall x \in \{(y^*)^{p'}, (y^*)^{2p'}, \dots, (y^*)^{(q'-1)p'}\} \text{ ord}_n(x) = q'$

$\text{ord}_n(1) = 1$

$\text{Pr}\{x \text{ is a generator} | x \in_R QR_n\} = \phi(p'q') / (p'q')$  is close to 1

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## Subgroups in $Z_n^*$

Consider  $n = p q$ ,  $p=2p'+1$ ,  $q=2q'+1$ ,  $m=p'q'$ ,  $\lambda(n) = \text{lcm}(p-1, q-1)=2m$ ,

$$\phi(n) = (p-1)(q-1) = 4m$$

- ◇  $Z_n^*$  is not a cyclic group
  - ★ Carmichael's theorem asserts that no element in  $Z_n^*$  can generate all elements in  $Z_n^*$ . (maximum order is  $2m$  instead of  $4m$ )
  - ★ However,  $Z_n^*$  is still a group over modulo  $n$  multiplication.
- ◇  $QR_n$  is a cyclic subgroup of order  $m = \lambda(n)/2$ ,  $QR_n = \{x^2 \mid \forall x \in Z_n^*\}$ 
  - ★  $J_{00} = \{x \in Z_n^* \mid J(x,p)=1 \text{ and } J(x,q)=1\}$
  - ★ If there exists an element in  $Z_n^*$  whose order is  $2m$ , then  $QR_n$  is clearly a cyclic group. (Will the precondition be true?)
  - ★  $\forall x \in Z_n^* x^{2m} \equiv 1 \pmod{n}$  implies that  $\forall y \in QR_n \text{ ord}_n(y) \mid p'q'$  i.e.  $\text{ord}_n(y)$  is either  $1, p', q'$ , or  $p'q'$  (if there is one  $y$  s.t.  $\text{ord}_n(y)=m$  then  $y$  is a generator and  $QR_n$  is cyclic). Let's construct one.

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## Subgroups in $Z_n^*$ (cont'd)

Let  $g_1$  be a generator in  $Z_p^*$ , and  $g_2$  be a generator in  $Z_q^*$

Let  $\mathbf{g} \equiv \mathbf{g}_1 \pmod{p} \equiv \mathbf{g}_2 \pmod{q}$ , (note that  $J(\mathbf{g}, n) = 1$ ,  $\mathbf{g} \in J_{11}$ )

$$g^{p-1} \equiv g^{2p'} \equiv g_1^{2p'} \equiv 1 \pmod{p}, \quad g^{q-1} \equiv g^{2q'} \equiv g_2^{2q'} \equiv 1 \pmod{q}$$

$$\Rightarrow g^{2p'q'} \equiv 1 \pmod{p} \text{ and } g^{2q'p'} \equiv 1 \pmod{q} \text{ i.e. } g^{2p'q'} \equiv 1 \pmod{n}$$

if there exists a  $k \in \{1, 2, p', q', 2p', 2q', p'q'\}$  s.t.  $g^k \equiv 1 \pmod{n}$

then  $\text{ord}_n(\mathbf{g})$  is not  $2p'q'$

1.  $k=1: \Rightarrow g_1 \equiv 1 \pmod{p}$  contradict with  $\text{ord}_p(g_1) = p-1$
2.  $k=p': \Rightarrow g^{p'} \equiv g_1^{p'} \equiv 1 \pmod{p}$  contradict with  $\text{ord}_p(g_1) = 2p'$
3.  $k=q': \Rightarrow g^{q'} \equiv g_2^{q'} \equiv 1 \pmod{q}$  contradict with  $\text{ord}_q(g_2) = 2q'$
4.  $k=2: \Rightarrow g_1^2 \equiv 1 \pmod{p}$  contradict with  $\text{ord}_p(g_1) = p-1$
5.  $k=2p': \Rightarrow g^{2p'} \equiv g_1^{2p'} \equiv 1 \pmod{p}$  contradict with  $\text{ord}_p(g_1) = p-1$
6.  $k=2q': \Rightarrow g^{2q'} \equiv g_2^{2q'} \equiv 1 \pmod{q}$  contradict with  $\text{ord}_q(g_2) = 2q'$

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## Subgroups in $Z_n^*$ (cont'd)

$$7. k=p'q': \Rightarrow g^{p'q'} \equiv g_1^{p'q'} \equiv 1 \pmod{p}$$

since  $g_1^{2p'} \equiv 1 \pmod{p}$  and

$$\text{gcd}(q', 2) = 1 \Rightarrow \exists a, b \text{ s.t. } a q' + b 2 = 1$$

$$\Rightarrow g_1^{p'} \equiv g_1^{p'(a q' + b 2)} \equiv (g_1^{p'q'})^a (g_1^{2p'})^b \equiv 1 \pmod{p}$$

contradict with  $\text{ord}_p(g_1) = 2p'$

1~7 implies that  $\text{ord}_n(\mathbf{g}) = 2p'q'$ , i.e.  $QR_o = \{g^2, g^4, \dots, g^{p'q'}\}$

and  $QR_n$  is a cyclic group.

- ★  $\text{Pr}\{\text{Elements in } QR_n \text{ being a generator}\} = \phi(p'q') / (p'q')$
- ◇  $J_n$  is a cyclic subgroup of order  $2m = \lambda(n)$ ,  $J_n = \{x \in Z_n^* \mid J(x,n)=1\}$ 
  - ★  $J_{11} = \{x \in Z_n^* \mid J(x,p)=-1 \text{ and } J(x,q)=-1\}$
  - ★ The above proof also shows that  $J_n = \{g, g^2, \dots, g^{2p'q'}\}$  is cyclic
  - ★  $\text{Pr}\{\text{Elements in } J_n \text{ being a generator}\} = \phi(p'q') / (2p'q')$
- ◇  $J_{01} \cup J_{10} = Z_n^* \setminus \{J_{00} \cup J_{11}\}$  is not a subgroup in  $Z_n^*$ 
  - ★ if  $x \in J_{01}$  then  $x * x \in J_{00}$

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## Generator in $QR_n$

◇  $n = p q$ ,  $p=2p'+1$ ,  $q=2q'+1$

◇ Find a generator in  $QR_n$

1. Find a generator  $g_1$  of  $Z_p^*$  (i.e.  $Z_p^* = \langle g_1 \rangle$ ) and  $g_2$  of  $Z_q^*$  (i.e.  $Z_q^* = \langle g_2 \rangle$ )
2. Calculate the generator  $h_1 \equiv g_1^2 \pmod{p}$  of  $QR_p$  and  $h_2 \equiv g_2^2 \pmod{q}$  of  $QR_q$
3. Let  $h \equiv h_1 \pmod{p} \equiv h_2 \pmod{q}$ .

It is clear that  $h \equiv g^2 \pmod{n}$ , i.e.  $h \in QR_n$ , where  $g \equiv g_1 \pmod{p} \equiv g_2 \pmod{q}$ .

**Claim:**  $h$  is a generator of  $QR_n$

pf.

$$y \in QR_n \Rightarrow y \in QR_p \text{ and } y \in QR_q$$

$$\text{i.e. } \exists x_1 \in Z_p^* \text{ and } x_2 \in Z_q^*, y \equiv h_1^{x_1} \pmod{p} \equiv h_2^{x_2} \pmod{q}$$

$$\Rightarrow y \equiv g_1^{2x_1} \pmod{p} \equiv g_2^{2x_2} \pmod{q}$$

$$\Rightarrow y \equiv g^{2x} \pmod{n} \text{ if } 2x \equiv 2x_1 \pmod{p-1} \equiv 2x_2 \pmod{q-1}$$

a unique  $x \in Z_{p'q'}$  exists by CRT since  $\text{gcd}(p-1, q-1) = \text{gcd}(2p', 2q') = 2$

$$\Rightarrow y \equiv h^x \pmod{n}$$

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## Generate Elements in $Z_n^*$

◇  $Z_n^*$  is NOT a cyclic group ( $n = p q$ ,  $p=2p'+1$ ,  $q=2q'+1$ ,  $m=p' q'$ )

◇ How do we generate random elements in  $Z_n^*$ ?

$$Z_n^* = \{ g^a u^{-c b_1} (-1)^{b_2} \mid g \text{ is a generator in } QR_n, \gcd(e, \phi(n)) = 1, \\ u \in_R Z_n^* \text{ and } J(u, n) = -1, \\ a \in \{0, \dots, m-1\}, b_1 \in \{0, 1\}, \text{ and } b_2 \in \{0, 1\} \}$$

Note: 1.  $J(-1, n) = 1$  and  $-1 \in J_n \setminus QR_n$  since  $(-1)^{(p-1)/2} \equiv (-1)^{p'} \equiv -1 \pmod{p}$

2.  $e$  is odd,  $\phi(n)-e$  is also odd,  $J(u^{-e}, n) = J(u, n) = -1$

◇ We can view the above as 4 parts

1.  $J_{00}(QR_n)$ :  $b_1 = b_2 = 0$ ,  $J_{00} = \{g^a \mid a \in \{0, \dots, m-1\}\}$

2.  $J_{11}(J_n \setminus QR_n)$ :  $b_1 = 0$ ,  $b_2 = 1$ ,  $J_{11} = \{-g^a \mid a \in \{0, \dots, m-1\}\}$

Assume that  $J(u, p) = -1$  and  $J(u, q) = 1$

3.  $J_{01}$ :  $b_1 = 1$ ,  $b_2 = 0$ ,  $J_{01} = \{g^a u^{-c} \mid a \in \{0, \dots, m-1\}\}$

4.  $J_{10}$ :  $b_1 = 1$ ,  $b_2 = 1$ ,  $J_{10} = \{-g^a u^{-c} \mid a \in \{0, \dots, m-1\}\}$

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◇ Lagrange's Theorem: for any finite group  $G$ , the order (number of elements) of every subgroup  $H$  of  $G$  divides the order of  $G$ .

★ proof sketch: divide  $G$  into left cosets  $H$  – equivalence classes, and show that they have the same size.

◇ It implies that: the order of any element  $a$  of a finite group (i.e. the smallest positive integer number  $k$  with  $a^k = 1$ ) divides the order of the group. Since the order of  $a$  is equal to the order of the cyclic subgroup generated by  $a$ . Also,  $a^{|G|} = 1$  since order of  $a$  divides  $|G|$ .

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